



**HERRINGTON**  
WEALTH MANAGEMENT

## **Evangeline “Angie” Herrington**

**CRD# 6434996**

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[www.herringtonwealth.com](http://www.herringtonwealth.com)

**Form ADV Part 2B  
Firm Brochure  
Supplement  
March 27, 2023**

This Brochure Supplement provides information for Angie Herrington that supplements the Herrington Wealth Management Corporation brochure. You should have received a copy of that brochure, Form ADV Part 2A. Please contact us at 214-509-8724 or [info@herringtonwealth.com](mailto:info@herringtonwealth.com) if you did not receive Herrington Wealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Angie Herrington is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

ITEM 2

## Educational Background and Business Experience

Angie Herrington, born in 1972, graduated from Baylor University in 1994 with a Bachelor of Business Administration. Angie Herrington’s employment information is listed below.

International Research Securities, Inc. Registered Representative	03/2021 to Present
Herrington Wealth Management Corporation Managing Director and CCO	07/2019 to Present
Fuller Wealth Management, LLC dba CMH Wealth Management Director of Wealth Management and Investment Adviser Representative	12/2018 to 07/2019
IFS Securities, Inc. Registered Representative	12/2018 to 07/2019
CMH Advisors, PLLC COO	10/2018 to 07/2019
Ceterra Advisors Networks, LLC Registered Representative and Investment Adviser Representative	04/2017 to 12/2018
Westbrooks Dugger & Westbrooks Financial Advisor	04/2017 to 09/2018
Edward Jones Registered Representative and Investment Adviser Representative	01/2015 to 03/2017

### PROFESSIONAL DESIGNATIONS

#### Certified Financial Planner™, CFP®

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### ITEM 3

## Disciplinary Information

Angie Herrington has not been and/or is presently not involved in any disciplinary, legal, or regulatory events that would be material to a client’s evaluation of her or of Herrington Wealth.

### ITEM 4

## Other Business Activities

Certain associates of the firm are also registered representatives, licensed to sell securities. As a registered representative of an unaffiliated broker-dealer, he or she may earn additional compensation in the form of commissions for the sale of general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and a variable annuity to investment advisory clients. As such, your IAR may suggest that you implement investment advice by purchasing securities products through a commission-based account introduced through our unaffiliated broker-dealer in addition to an investment advisory account. In the event that you elect to purchase these products through our unaffiliated broker-dealer, your investment adviser, in the capacity as a registered representative, and our unaffiliated broker-dealer will receive the standard and customary commission compensation in connection with the particular product purchased.

Certain associates of the firm are also insurance agents licensed to sell insurance products. A conflict of interest exists in that these services pay a commission which conflicts with the IAR’s fiduciary duties. Herrington Wealth does not require its IARs to encourage clients to implement investment advice through our insurance product recommendations. Clients have the right to implement insurance product recommendations through the insurance agency and agent of their choice. We require that all IARs disclose this conflict of interest when such recommendations are made. We also require IARs to disclose that the client has the right to purchase recommended products from individuals not affiliated with us.

**ITEM 5**

## **Additional Compensation**

Angie Herrington does not receive any additional compensation.

**ITEM 6**

## **Supervision**

Angie Herrington, Managing Director and Chief Compliance Officer of Herrington Wealth is responsible for supervising the investment advisory activities of Angie Herrington. Angie Herrington monitors and reviews all forms of written communications that Angie Herrington provides to clients. Angie Herrington can be contacted via telephone at 214-509-8724 and via email at [aherrington@herringtonwealth.com](mailto:aherrington@herringtonwealth.com).

**ITEM 7**

## **Requirements for State-Registered Advisers**

A. Angie Herrington has not been involved in an award or found liable in any arbitration claim or in any civil, self-regulatory organization or administrative proceedings.

B. Angie Herrington has not been the subject of a bankruptcy petition.

**By signing below I acknowledge that I received Herrington Wealth Management’s ADV Part 2B.**

\_\_\_\_\_  
Client’s Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Client’s Name (Print)

\_\_\_\_\_  
Co-client’s Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Co-client’s Name (Print)